



Medical Device Safety:

FDA Considerations for New Dialysis Devices

Robert E. Lee, MD
Medical Officer

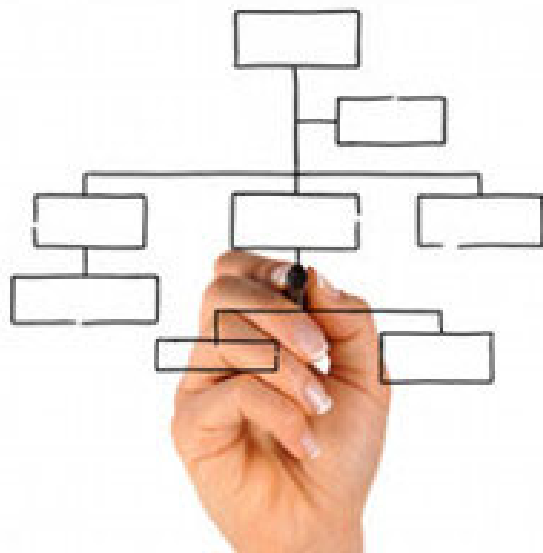
Vascular and Endovascular Devices Team
Division of Circulatory Support, Structural and Vascular Devices
Office of Cardiovascular Devices
Office of Product Evaluation and Quality



Disclosures

- I am a full time employee of the US FDA
- I have no commercial conflicts
- When I don't cite policy or regulations, any opinions expressed are my own

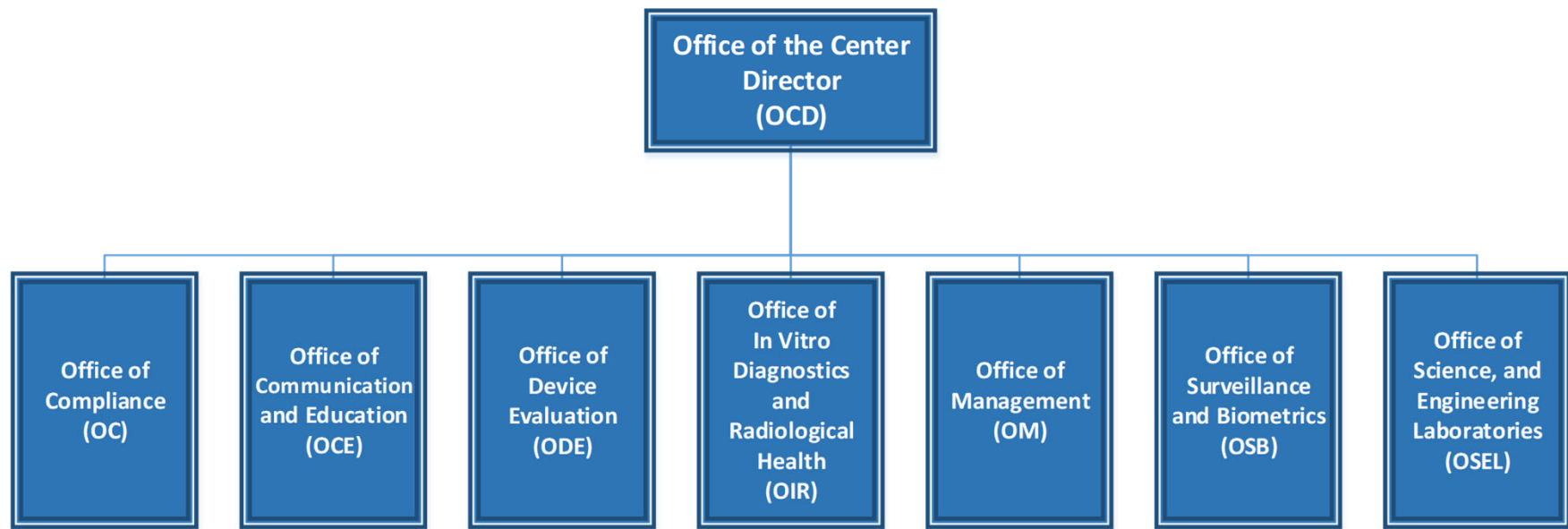
Center for Devices and Radiological Health Reorganization



- CDRH implemented a new organizational structure on May 1st, 2019.
- CDRH reorganization includes adopting a TPLC model and other efforts to streamline and improve efficiency
- Each device team has responsibility for products oversight from the premarket review phase to post market surveillance.

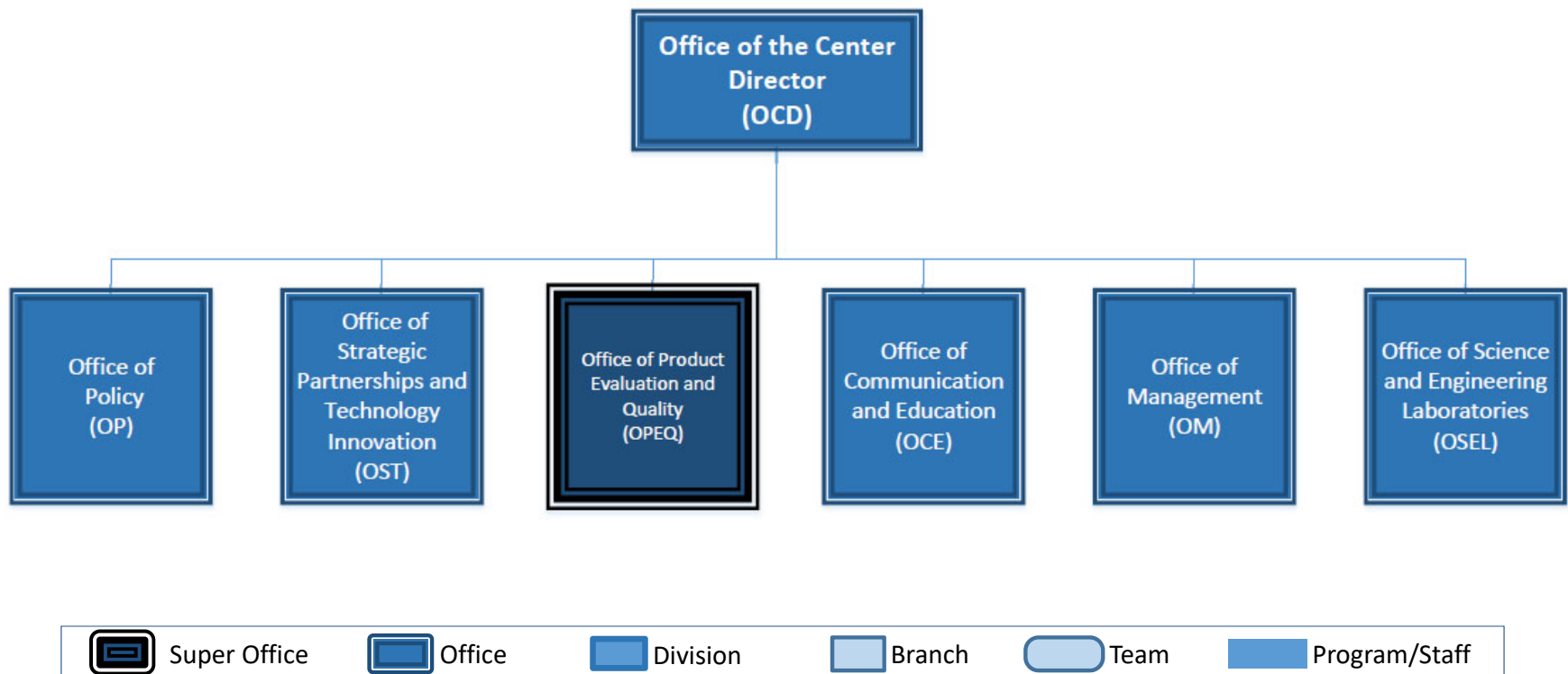


Prior CDRH Structure

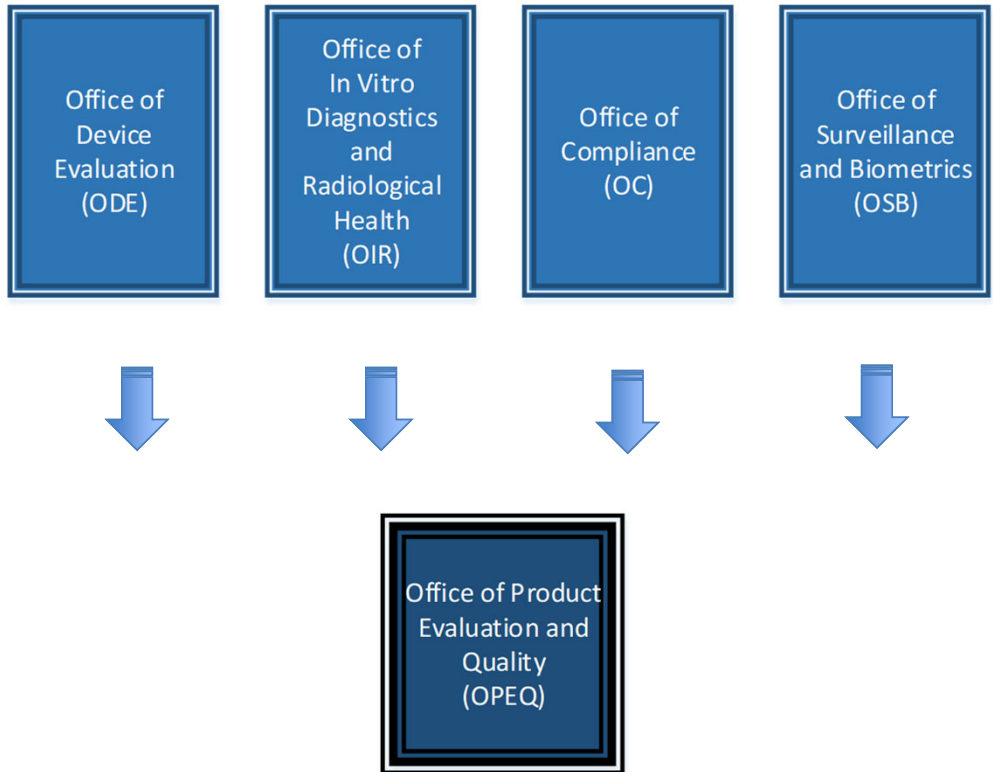




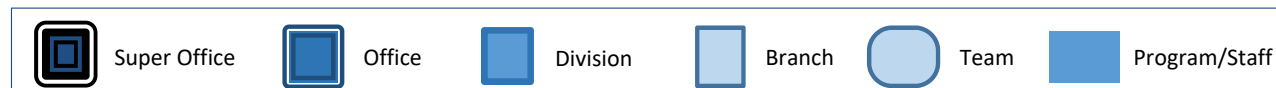
CDRH Structure After Reorg Implementation



Office of Product Evaluation and Quality

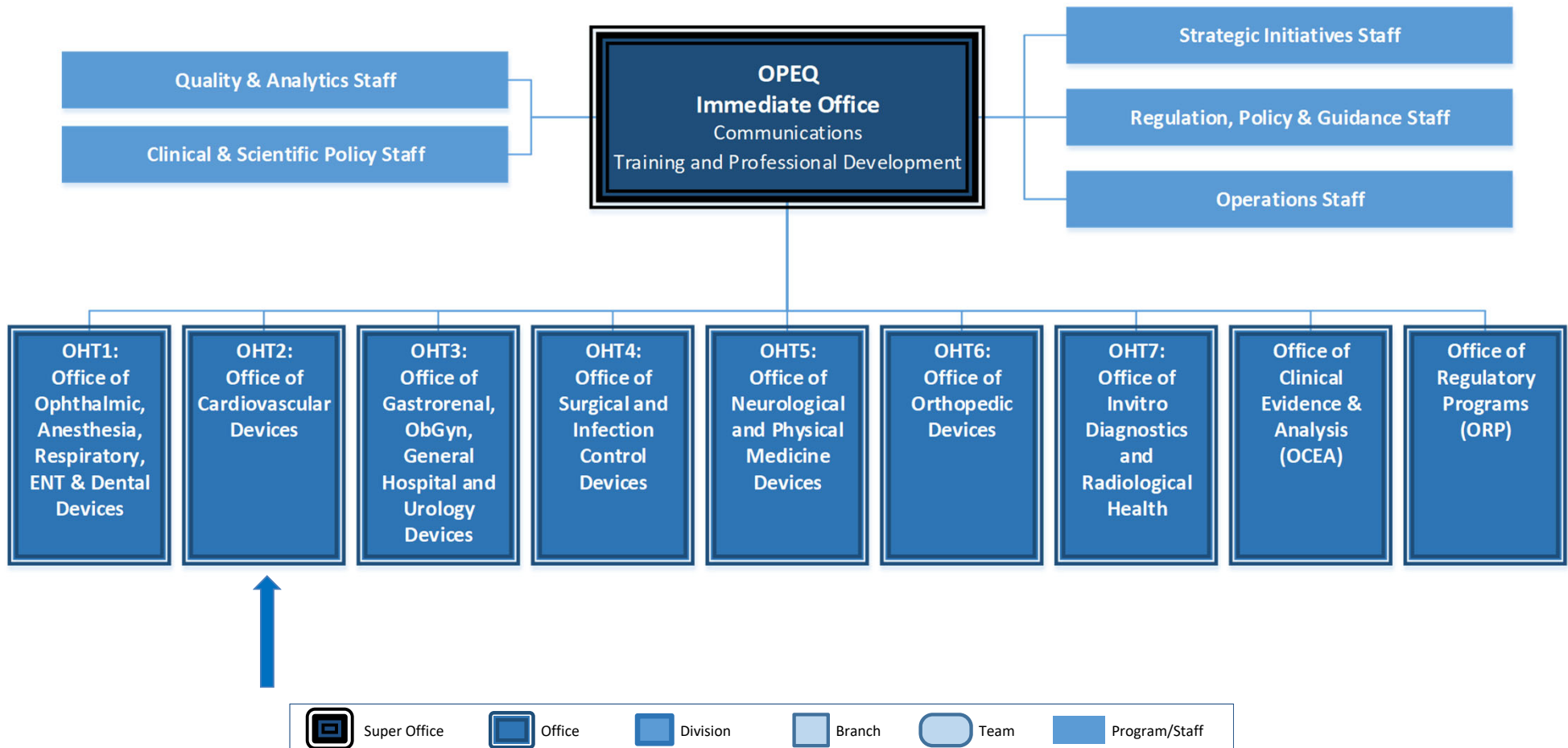


OC, ODE, OIR and OSB will reorganize into one Super Office (OPEQ)





Office of Product Evaluation and Quality





Device Classification

- Based on device description and intended use
- Class I, II, or III based on increasing degrees of risk



Classes of Medical Devices

Class	Risk	Controls	Submission
I	Lowest	General	• Exempt* • 510(k)
II	Moderate	General and Special (if available)	• 510(k)* • Exempt
III	Highest	General and PMA	• PMA

* Most Common Submission Type for Class

Investigational Device Exemption (IDE)



- Clinical research on investigational devices
- Collect safety and effectiveness data for future marketing application
- Requires approval by Institutional Review Board
- Protect human patients



De Novo

- Marketing process for **novel** devices
- Device has no existing classification regulation
- The pathway by which the two devices intended to create percutaneous AVF were approved in 2018



Benefit



Risk

Contains Nonbinding Recommendations

**Factors to Consider Regarding Benefit-
Risk in Medical Device Product
Availability, Compliance, and
Enforcement Decisions**

**Guidance for Industry and
Food and Drug Administration Staff**

Document issued on December 27, 2016.

The draft of this document was issued on June 16, 2016

For questions about this document regarding CDRH-regulated devices, contact the Office of Compliance at 301-796-5900.



U.S. Department of Health and Human Services
Food and Drug Administration
Center for Devices and Radiological Health

Risk Benefit Guidance



Medical Device Benefits

- **Type of benefit(s)**
- **Magnitude of benefit(s)**
- **Likelihood of patients experiencing benefit(s)**
- **Duration of effects**
- **Patient Perspective**
- **Benefits for providers / caregivers**
- **Unmet need**

[Risk Benefit Guidance for Medical Devices](#)



Medical Device Risks

- **Severity of harm?**
 - Device related deaths and serious injuries
 - Device related non-serious adverse events
 - Events with out harm
- **Likelihood of harm?**
- **Duration of exposure to harm?**
- **Patient tolerance to harm?**

[Risk Benefit Guidance for Medical Devices](#)



Post Market Assurance

Section 522 of the Federal Food, Drug and Cosmetic Act (the act) gives FDA the authority to require a manufacturer to conduct postmarket surveillance of a class II or class III device that meets any of the following criteria:

- its failure would be reasonably likely to have serious adverse health consequences;
- it is expected to have significant use in pediatric populations;
- it is intended to be implanted in the body for more than one year; or
- it is intended to be a life-sustaining or life-supporting device used outside a device user facility



Medical Device Reporting

Who?

- **MANDATORY: 21 CFR 803**
 - Manufacturers
 - Importers
 - Health Care Facilities
- **VOLUNTARY**
 - Health Care Providers
 - Consumers

What?

- **Therapeutic Failures**
- **Product Quality Issues**
- **Serious Adverse Events (SAEs)**
 - Death
 - Life threatening
 - Disability / Hospitalization
 - Requiring intervention
 - Congenital anomalies

MedWatch Voluntary Reporting

U.S. Department of Health and Human Services
MEDWATCH
The FDA Safety Information and
Adverse Event Reporting Program

For VOLUNTARY reporting of
adverse events, product problems and
product use errors

Form Approved OMB No. 0915-0041, Expires 03/03/15
See FDA statement on reuse

Page 1 of 3

PLEASE TYPE OR USE BLOCK LETTERS

A. PATIENT INFORMATION

1. Patient Identifier # _____ 2. Age _____ 3. Sex _____ 4. Weight _____
☐ Weeks ☐ Days ☐ Months ☐ Years ☐ Female ☐ Male

5. Date of Birth (e.g., 01 Feb 1925) _____

6. Ethnicity (Check all that apply)
☐ Hispanic/Latino ☐ Asian ☐ American Indian or Alaskan Native
☐ Not Hispanic/Latino ☐ Black or African American ☐ White
☐ Native Hawaiian or Other Pacific Islander

B. ADVERSE EVENT, PRODUCT PROBLEM

1. Check all that apply:
☐ Adverse Event ☐ Product Problem (e.g., defect/malfunction)
☐ Product Use Error ☐ Problem with Different Manufacturer of Same Medicine

2. Outcome Attributed to Adverse Event (Check all that apply)
☐ Death ☐ Hospitalization - initial or prolonged ☐ Disability or Permanent Damage
☐ Life-threatening ☐ Other Serious (Important Medical Events)
☐ Required Intervention to Prevent Permanent Impairment/Damage (Device)

3. Date of Event (dd-mm-yyyy) _____ 4. Date of this Report (dd-mm-yyyy) _____

5. Describe Event, Problem or Product Use Error _____
 (Continue on page 2)

6. Relevant Tests/Laboratory Data, Including Dates _____
 (Continue on page 2)

7. Other Relevant History, Including Preexisting Medical Conditions (e.g.,
 allergies, pregnancy, smoking and alcohol use, kidney problems, etc.) _____
 (Continue on page 2)

C. PRODUCT AVAILABILITY

1. Product Available for Evaluation? (Do not send product to FDA)
☐ Yes ☐ No ☐ Returned to Manufacturer on (dd-mm-yyyy) _____

D. SUSPECT MEDICAL DEVICES

1. Brand Name _____ 2. Common Device Name _____ 3. Procedure _____
 4. Manufacturer Name, City and State _____
 5. Model # _____ Lot # _____ 6. Operator of Device _____
 Catalog # _____ Expiration Date (dd-mm-yyyy) _____
 Serial # _____ Unique Identifier (UDI) # _____
 7. If Implanted, Give Date (dd-mm-yyyy) _____ 8. If Exterminated, Give Date (dd-mm-yyyy) _____
 9. Is this a single-use device that was reprocessed and reused on a patient? ☐ Yes ☐ No
 10. If Yes to Item 9, Enter Name and Address of Reprocessor _____

E. OTHER (CONCOMITANT) MEDICAL PRODUCTS

Product name and therapy dates (Include treatment of event) _____
 (Continue on page 2)

F. REPORTER (See confidentiality section on back)

1. Name and Address _____
 Last Name _____ First Name _____
 Address _____
 City _____ State/Province/Region _____
 Country _____ Zip/Postal Code _____
 Phone # _____ Email _____
 2. Health Professional? ☐ Yes ☐ No 3. Occupation _____
 4. Also Reported to:
☐ Manufacturer ☐ User Facility ☐ Health Commissioner
 5. If you do NOT want your identity disclosed to the manufacturer, please mark this box ☐

FORM FDA 3500 (10/16) Submission of a report does not constitute an admission that medical personnel or the product caused or contributed to the event.

Download FDA Form 3500

Web based report form

1-800-FDA-1088: Phone

1-800-FDA-0178: FAX

Form 3500 B for Consumers

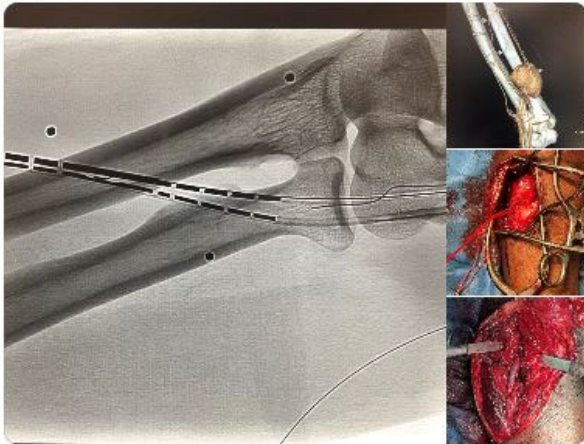


Graeme McFarland

@gemcfarland12

Follow

Large ulnar a. psa after perc AVF creation. Difficult anatomic location to access w/o muscle transection and ultimately required a bypass. Not clear on the location choice? Brachial v. was coiled and primary outflow vein was the basilic which would still need superficialization.



8:57 PM - 12 Mar 2019

13 Retweets 41 Likes



18 13 41



Graeme McFarland @gemcfarland12 · Mar 12

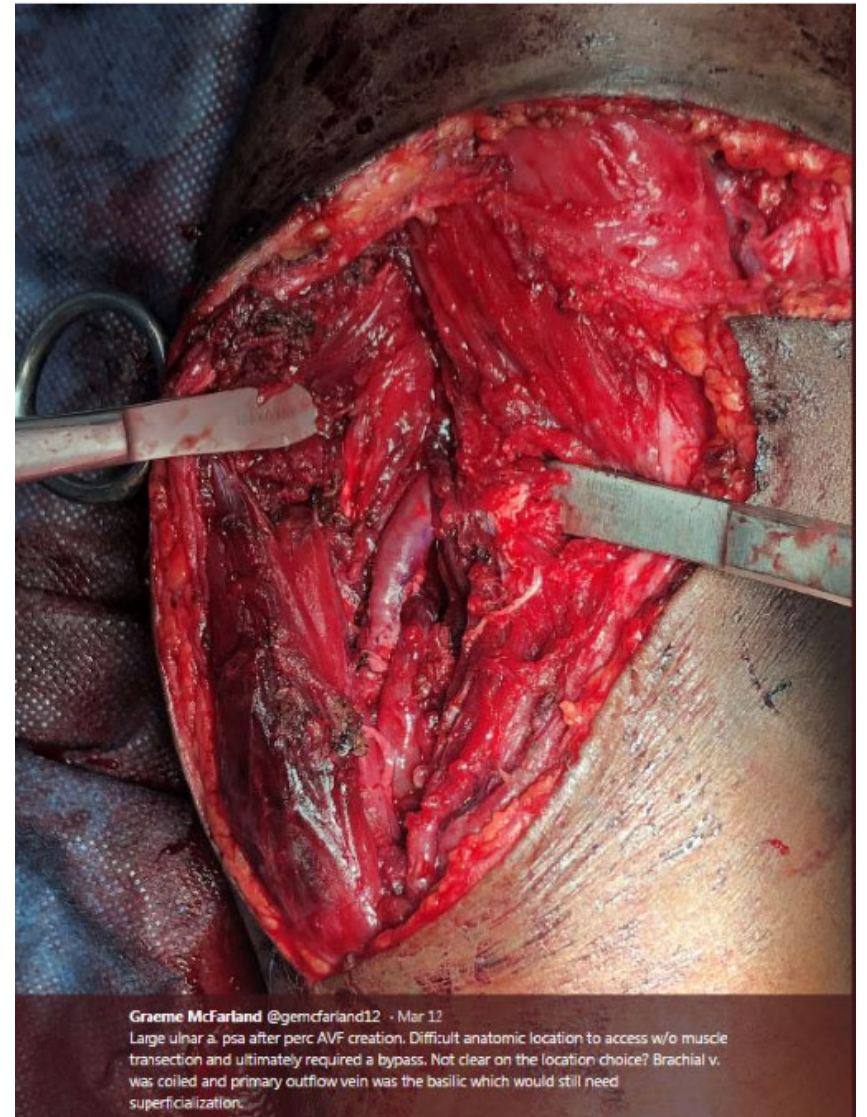
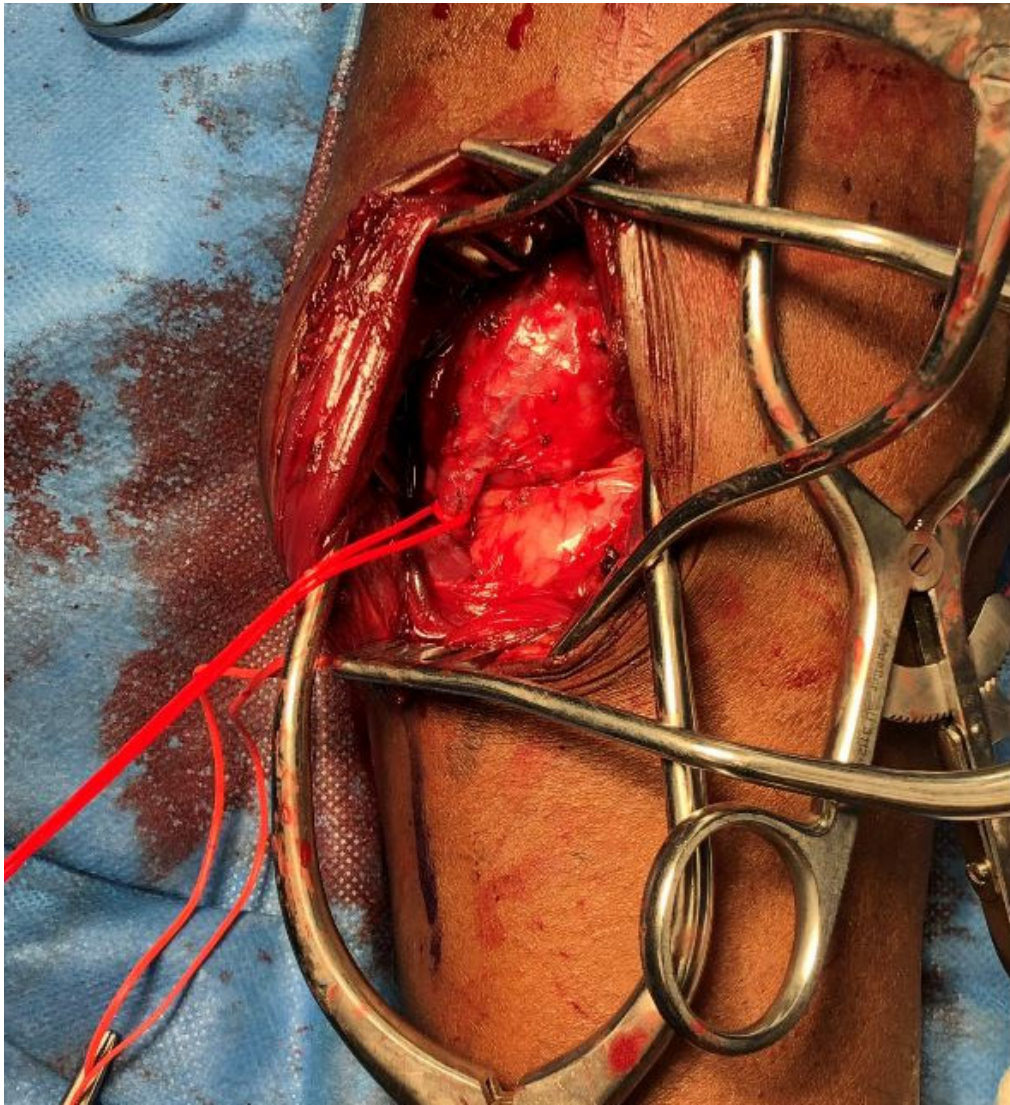
@AWBeckMD @UABVascular

1



Graeme McFarland @gemcfarland12 · Mar 12

Large ulnar a. psa after perc AVF creation. Difficult anatomic location to access w/o muscle transection and ultimately required a bypass. Not clear on the location choice? Brachial v. was coiled and primary outflow vein was the basilic which would still need superficialization.



Your Role as a Clinician in Assuring Medical Device Safety



- Obtain appropriate training on novel devices
- Know your devices!
 - Read the full pivotal study reports
 - Stay Abreast of the PAS studies
 - Scrutinize the SSED for the devices you utilize

Summary of Safety and Effectiveness Data



- The SSED is an FDA document that summarizes the key content of the PMA, such as the Device Description, Preclinical Evidence, and Clinical Evidence, as well as FDA's analysis of the scientific evidence that served as the basis for FDA's decision regarding the reasonable assurance of the safety and effectiveness of the device.
- SSEDs are accessible via the FDA PMA database:
<https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfPMA/pma.cfm>



Premarket Approval (PMA)

[FDA Home](#) [Medical Devices](#) [Databases](#)

Premarket approval (PMA) is the FDA process of scientific and regulatory review to evaluate the safety and effectiveness of Class III medical devices. Class III devices are those that support or sustain human life, are of substantial importance in preventing impairment of human health, or which present a potential, unreasonable risk of illness or injury.

[Learn more.](#)

Search Database



Help



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Applicant		Product Code		PMA Number	
Device				Expedited Review	▼
Decision Date		to		Docket Number	
Advisory Committee	▼			Cleared/Approved IVD Products	☐
Supplement Type	▼			Combination Products	☐
Sort by	Decision Date (Descending) ▼				
Quick Search			Clear Form Search		

Other Databases

- 510(k)s
- De Novo
- Medical Device Reports (MDR)
- CDRH Export Certificate Validation (CECV)
- CDRH FOIA Electronic Reading Room
- CFR Title 21
- CLIA
- Device Classification
- FDA Guidance Documents
- Humanitarian Device Exemption
- Medsun Reports
- Post-Approval Studies
- Postmarket Surveillance Studies
- Radiation-Emitting Products
- Radiation-Emitting Electronic Products Corrective Actions
- Recalls
- Registration & Listing
- Standards
- Total Product Life Cycle
- X-Ray Assembler

Page Last Updated: 05/06/2019

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Note: This medical device record is a PMA supplement. A supplement may have changed the device description/function or indication from that approved in the original PMA. Be sure to look at the [original PMA](#) record for more information.

Device Covera™ Vascular Covered Stent
Classification Name [System, Endovascular Graft, Arteriovenous \(Av\) Dialysis Access Circuit Stenosis Treatment](#)
Generic Name System, Endovascular Graft, Arteriovenous (Av) Dialysis Access Circuit Stenosis Treatment
Applicant C.R. Bard, Inc.
1625 W. 3rd Street
Tempe, AZ 85528
PMA Number P170042
Supplement Number S002
Date Received 09/04/2018
Decision Date 03/01/2019
Product Code PFV [[Registered Establishments With PFV](#)]
Docket Number 19M-0995
Notice Date 03/07/2019
Advisory Committee Cardiovascular

Clinical Trials [NCT02649946](#)

Supplement Type Panel Track
Supplement Reason Labeling Change - Indications/Instructions/Shelf Life/Tradename
Expedited Review Granted? No
Combination Product No

Approval Order Statement

Approval of the COVERA Vascular Covered Stent. The device is indicated for use in hemodialysis patients for the treatment of stenoses in the venous outflow of an arteriovenous fistula and at the venous anastomosis of an ePTFE or other synthetic AV graft.

Approval Order [Approval Order](#)

Summary [Summary Of Safety And Effectiveness](#)

[Labeling](#)

Labeling [Labeling Part 2](#)

Post-Approval Study [Show Report Schedule And Study Progress](#)

XII. CONCLUSIONS DRAWN FROM CLINICAL STUDY

A. Effectiveness Conclusions

To demonstrate clinically acceptable effectiveness, the primary effectiveness endpoint was evaluated against the rate of Target Lesion Primary Patency (TLPP) at 6 months for standard PTA alone. TLPP at 6 months post-index procedure was evaluated using the Kaplan-Meier analysis and results were 78.7% in the COVERA™ group and 47.9% in the PTA group. The results demonstrated that, with respect to TLPP, the COVERA™ Vascular Covered Stent was superior to the PTA control ($p < 0.001$) for treatment of stenoses in the venous outflow of patients dialyzing with an arteriovenous fistula. The key secondary effectiveness endpoint of TLPP at 12 months was also met. At 12 months, TLPP was 57.5% for COVERA™ treated subjects versus 21.2% in the PTA group.

The significant benefit in TLPP did not carry over to Access Circuit Primary Patency (ACPP). At 6 months, ACPP was 50.7% for COVERA™ treated subjects versus 43.8% in the PTA group. The key secondary effectiveness endpoint for ACPP at 6 months was not met (p -value = 0.0846).

Reintervention data for the two study cohorts at 12 months are tabulated below:

Table 35: Reintervention Data for the two study cohorts

	COVERA™ Group	PTA Group
Total number of access circuit reinterventions	226	242
Total number of target lesion reinterventions	93	195
Total number of reinterventions for new lesions in the access circuit	142	98
Number of subjects requiring access circuit reinterventions	100	102
Number of subjects requiring target lesion reinterventions	57	100
Number of subjects with reinterventions for new lesions in the access circuit	75	49

While the total number of reinterventions for access circuit were similar, there was a considerable reduction in the number of reinterventions for the target lesions after treatment with COVERA™ Vascular Covered Stent compared to PTA alone. However, more reinterventions for new lesions in the access circuit were required in the COVERA™ treated group compared to the PTA alone group. Secondary patency for the COVERA™ treated subjects was 94.3% and for the PTA group was 97.1%.

Your Role as a Clinician in Assuring Medical Device Safety



- Work with your professional societies & get involved in the collection of Real World Evidence via registries
- Voluntarily report adverse device related events to FDA via MedWatch
- Be attune to FDA Letters to Health Care Providers



Paclitaxel Mortality Signal in PAD

UPDATE: Treatment of Peripheral Arterial Disease with Paclitaxel-Coated Balloons and Paclitaxel-Eluting Stents Potentially Associated with Increased Mortality - Letter to Health Care Providers

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Letters to Health Care
Providers

April 23, 2019 UPDATE: On June 19-20, 2019, the FDA will convene a [meeting of the Circulatory System Devices Panel](#), to discuss and make recommendations on information related to recent observations of increased long-term mortality in peripheral arterial disease patients treated with paclitaxel-coated balloons and paclitaxel-eluting stents compared to patients treated with uncoated comparator devices. The FDA requests panel input regarding the presence and magnitude of the signal and potential causes. The FDA also seeks input regarding appropriate regulatory actions associated with the findings.

Content current as of:
03/15/2019



Questions?

robert.lee1@fda.hhs.gov



Paclitaxel Mortality Signal in PAD

- Continue diligent monitoring of patients who have been treated with paclitaxel-coated balloons and paclitaxel-eluting stents.
- When making treatment recommendations and as part of the informed consent process, consider that there may be an increased rate of long-term mortality in patients treated with paclitaxel-coated balloons and paclitaxel-eluting stents.
- Discuss the risks and benefits of all available PAD treatment options with your patients. For most patients, alternative treatment options to paclitaxel-coated balloons and paclitaxel-eluting stents should generally be used until additional analysis of the safety signal has been performed.
- For some individual patients at particularly high risk for restenosis, clinicians may determine that the benefits of using a paclitaxel-coated product may outweigh the risks.

FDA Letter to Health Care Providers March 15, 2019

Paclitaxel Mortality: AV Access Considerations



Does the potential increase in mortality from DCB use justify the benefit achieved of delaying restenosis in patients with dysfunctional AV access?

- Larger balloons need to treat veins → higher drug doses
- Potential for repeated exposure if used for recurrent venous stenosis
- Particulate embolization occurs to a different end organ
- Sicker population with more comorbidities
- Should treatment be restricted to a single exposure?



ADVISORY COMMITTEE MEETING

June 19-20, 2019: Circulatory System Devices Panel of the Medical Devices Advisory Committee Meeting Announcement

JUNE 19-20, 2019

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Date: June 19-20, 2019
Time: June 19, 2019
08:00 AM EDT – 03:00 PM EDT

Content current as of:
04/22/2019

Center	Date	Time	Location
CDRH	June 19, 2019 June 20, 2019	8:00 a.m. - 5:00 p.m. 8:00 a.m. - 3:00 p.m.	Gaithersburg Holiday Inn Grand Ballroom Two Montgomery Village Ave. Gaithersburg, MD 20879 301-948-8900

<https://www.fda.gov/advisory-committees>